PATENT COOPERATION TREATY

PCT

TRANSLATION INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

| Applicant' | _ | | eference | FOR FURTHER A | CTION | See Form PCT/IPEA/416 | | | | | | | | |
|--|--|-------------|-----------------------|---|---------------------------|---|--|--|--|--|--|--|--|--|
| Internation | nal appl | lication N | 0. | International filing date | te (day/month/year) | Priority date (day/month/year) | | | | | | | | |
| | | | 014311 | 22.09.200 | | 22.09.2003 | | | | | | | | |
| Internation | nal Pate | ent Classif | ication (IPC) or nat | ional classification and l | IPC | | | | | | | | | |
| A61K | :7/0 | 6, A | 61K31/352 | , A61P17/14 | • | | | | | | | | | |
| | . • | , | _, | | | | | | | | | | | |
| Applicant | | | | | | | | | | | | | | |
| l | | SHIN | YAKU CO., | LTD. | | | | | | | | | | |
| | _ •• | | | - - | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | minary examination rep he applicant according to | | International Preliminary Examining Authority | | | | | | | | |
| 2. | This RI | EPORT co | onsists of a total of | 4 | sheets, including | g this cover sheet. | | | | | | | | |
| 3. | | | | | | | | | | | | | | |
| a. (sent to the applicant and to the International Bureau) a total of sheets, as follo | | | | | | | | | | | | | | |
| I | _ | | sheets of the descri | ption, claims and/or dra | wings which have been a | amended and are the basis for this report and/or | | | | | | | | |
| | sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). | | | | | | | | | | | | | |
| | sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental | | | | | | | | | | | | | |
| | | | Box. | appneat | , as mancated | and the supplemental | | | | | | | | |
| | ъ. [|] (sent | to the International | Bureau only) a total of | (indicate type and number | r of electronic carrier(s)) | | | | | | | | |
| | | | | | | , containing a sequence listing and/or tables | | | | | | | | |
| | | | | | s indicated in the Supple | emental Box Relating to Sequence Listing (see | | | | | | | | |
| <u> </u> | т. | | ···· | strative Instructions). | | | | | | | | | | |
| I . | | port conta | uns indications relat | ting to the following iter | 115: | : | | | | | | | | |
| | \boxtimes | Box No. | I Basis of th | e report | | | | | | | | | | |
| | 닏 | Box No. | II Priority | | | | | | | | | | | |
| | \boxtimes | Box No. | III Non-establ | lishment of opinion with | regard to novelty, invent | tive step and industrial applicability | | | | | | | | |
| | | Box No. | IV Lack of un | nity of invention | | | | | | | | | | |
| | | Box No. | | statement under Article nd explanations supporti | | elty, inventive step or industrial applicability; | | | | | | | | |
| | | Box No. | VI Certain do | cuments cited | | | | | | | | | | |
| 1 | | Box No. | VII Certain de | fects in the international | application | | | | | | | | | |
| | | Box No. | VIII Certain ob | oservations on the interna | ational application | | | | | | | | | |
| Date of s | ub mi ssi | ion of the | demand | | Date of completion of th | us report | | | | | | | | |
| | - 31 | | | | _ | | | | | | | | | |
| Name and | d maili: | ng address | s of the IPEA/JP | | Authorized officer | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| Faccionit | » No | | | | Telephone No. | | | | | | | | | |
| Facsimile | E NO. | | | Y | Telephone No. | | | | | | | | | |

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.
PCT/JP2004/014311

| Box No. I | Basis of the report | |
|------------------------------|---|--|
| | d to the language, this report is based on the international appl nder this item. | ication in the language in which it was filed, unless otherwise |
| ∟ which | report is based on translations from the original language into h is the language of a translation furnished for the purposes of international search (Rule 12.3 and 23.1(b)) | |
| | publication of the international application (Rule 12.4) | |
| | international preliminary examination (Rule 55.2 and/or 55.3 |) |
| receiving O this report): | Office in response to an invitation under Article 14 are refert | is based on (replacement sheets which have been furnished to the red to in this report as "originally filed" and are not annexed to |
| the de | lescription: | |
| pages | s | as originally filed/furnished |
| pages | s* recei | ved by this Authority on |
| pages | | ved by this Authority on |
| | | |
| | claims: | as originally filed/furnished |
| nos. | | |
| nos.* | | |
| nos.* | * recei | ved by this Authority on |
| nos.* | * recei | ved by this Authority on |
| the di | drawings: | |
| sheet | ds | as originally filed/furnished |
| sheet | rts* recei | ved by this Authority on |
| sheet | recei | ved by this Authority on |
| l na seq | quence listing and/or any related table(s) see Supplemental E | tox Relating to Sequence Listing. |
| \ | amendments have resulted in the cancellation of: | |
|] | | |
| 1 | the description, pages | |
| | the claims, nos. | |
| | the drawings, sheets/figs | |
| | the sequence listing (specify): | |
| | any table(s) related to sequence listing (specify): | |
| 4. This they | s report has been established as if (some of) the amendments y have been considered to go beyond the disclosure as filed, as | annexed to this report and listed below had not been made, since indicated in the Supplemental Box (Rule 70.2(c)). |
| | the description, pages | |
| | the claims, nos. | |
| | the drawings, sheets/figs | |
| | the sequence listing (specify): | |
| | any table(s) related to sequence listing (specify): | |
| * If item 4 a | applies, some or all of those sheets may be marked "supersede | d." |

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.
PCT/JP2004/014311

| Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability |
|---|
| The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially applicable have not been examined in respect of: |
| the entire international application |
| claims Nos. 8 |
| because: |
| the said international application, or the said claims Nos. 8 relate to the following subject matter which does not require an international preliminary examination (specify): |
| Claim 8 pertains to a method for the treatment |
| of the human or animal body by means of therapy, and |
| thus relates to a subject matter for which this |
| International Preliminary Examining Authority is not |
| required to carry out an international preliminary |
| examination under the provisions of PCT Rule 67.1 |
| (iv). |
| the description, claims or drawings (indicate particular elements below) or said claims Nos. are so unclear that no meaningful opinion could be formed (specify): |
| |
| |
| |
| |
| |
| |
| |
| |
| the claims, or said claims Nos. are so inadequately supported |
| by the description that no meaningful opinion could be formed. |
| no international search report has been established for said claims Nos. 8 |
| the nucleotide and/or amino acid sequence listing does not comply with the standard provided for in Annex C of the Administrativ Instructions in that: |
| the written form has not been furnished |
| does not comply with the standard |
| the computer readable form has not been furnished |
| does not comply with the standard |
| the tables related to the nucleotide and/or amino acid sequence listing, if in computer readable form only, do not comply with the technical requirements provided for in Annex C-bis of the Administrative Instructions. |
| See Supplemental Box for further details. |

International application No.

| | INTERNATIONAL PREL | LIVLLINAK Y | _ KI | AE | K E | ĸέ | κI | ΛĽ | .c.p | . U | /K I | . U | 14 I | :A | . E | 11. | A | • 1 | ا و | | - 1 | • ! | - 1 | | _ | _ | | | | | | | P | 2 | Τ | / | J | Ρ | 2 | C |)(|) (| 4 | _/ | (| 0 | 1 | 4 | 3 | 3 : | L. | 1 | _ |
|--------|---|-------------|--------|-----|-----------|-----------|----|-----|-------------|------------|----------|-----|------|----|----------------|-----|-----|-----|-----|-----|-----|-----|-----|---|----|----|-----|----|----|----|-----|---|-----|----|-----|----|-----|----|----|---|-----|-----|-----|-----|-----|----|---|---|---|-----|----|----------|---|
| Box | No. V Reasoned stateme citations and expl | | | | | | | | | | | | | | | | | | D | 0, | V | el | ty | , | iп | v | er | ti | ve | s | e | 0 | r i | no | lu | st | ria | al | ap | p | lie | ca | bi | ili | ity | y; |) | | | | | | |
| 1. | Statement Citations and expir | auviis su | ~ P P | PPC | <u>rr</u> | <u>rr</u> | rľ | r P | <i>p</i> 01 | . 44 | 5 | 344 | 3 | | | | | | | | | | | | | _ | _ | | | - | | | | | | | | | | | | | | _ | _ | _ | _ | _ | _ | | | _ | _ |
| • | Novelty (N) | Claims | | | _ | _ | - | _ | | | -7 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YI No | |
| | Inventive step (IS) | Claims | - - | _ | | | | | _1 | <u> </u> | -7 | , | | | | _ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | _ | • | YI Ne | E |
| | Industrial applicability (IA) | Claims | s _ | | | | | | _1 | <u>l</u> - | | , | | | | | | | _ | | | | | | | | | | _ | | | | | | | | | _ | | | | | | | | | | | | | | Y N | E |
| 2. | Citations and explanations (Rule | 70.7) | | | | | | | | | | | | _ | | | | _ | _ | | | _ | | | | | | | _ | _ | | | | | | | | | | | | | | _ | _ | _ | _ | _ | | - | | - | _ |
| | Document 1: JP | | -5 | -5 | -5 | -5 | -5 | 5 | 51 | . 1 | 14 | 5 9 | € | A | | (N | 10 | Э. | v | ء - | a | 1 | :1 | L | i | S | 3 | Ž | 7(| 3 | - } | , | | - | L (| 5 | | A; | p: | r | i | . 3 | L | | | | | | | | | | |
| | Document 2: JP | 5-506 | 68 | 8 | 8 | 8 | 8 | 8 | 84 | 14 | 4 | A | (| T | a] | ς ∈ | e (| £ | a | | | (| | h | e | n | n i | Ĺ | 2 | a. | 1 | 1 | Σr | 10 | dι | 1: | s. | t | r | i | e | 2 5 | 3 , | , | | | | | | | | | |
| | Lt | d.), | 0 | 07 | 0. | Ο. | 0 | ο, | 7 | | 00 | ct | .0] | be | er | | 1 | | 9 | 9 |) (| 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Documents | s 1 ar | nd | nd | ıd | nd | ıc | ıd | d | 2 | 2 | d | 2 | n | O [†] | t | - | i | n | 10 | b | 1: | L٠ | С | а | ιt | . 6 | € | , | 0 | r | 5 | 31 | 19 | g | 3. | e | s | t | | t | . ì | n a | a | t | _ | | | | | | | |
| | the chromene co | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | a | | | | | | |
| | (1) set forth | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | effective as ha | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | _ | • | | - | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |